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Solomon's Second Conjecture: A Proof for Local Hereditary Orders in Central Simple Algebras

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1. INTRODUCTION

Solomon's second conjecture concerns partial zeta functions of local orders. The exact definitions of orders and ideals can be found in [R], and we use the partial zeta functions, as defined in [BR]. We briefly recall the definitions.

Let R_v be a discrete valuation ring in a local field K with valuation v . A local order Θ is an R_v -order in a central simple K -algebra $A = M_n(D)$. If $n = 1$ the valuation v has a unique extension v_D in the skew field D . We denote with Δ the unique maximal R_v -order in D and with \mathfrak{f} the maximal ideal in Δ . Then $\#(\Delta/\mathfrak{f}) = q$ is finite. We fix a uniformizing element π of Δ , i.e., $v_D(\pi) = 1$. If $n \neq 1$ the local orders are not so easy to describe. The maximal R_v -orders A in A are isomorphic with $M_n(\Delta)$.

The partial zeta functions of a local order Θ correspond to a choice of two left Θ -ideals \mathcal{L}, \mathcal{M} in A (cf. [BR, p. 137]):

$$Z_{\mathcal{L}, \mathcal{M}}(s) = \sum_{X \cong \mathcal{L} \text{ and } X \subseteq \mathcal{M}} (\mathcal{M} : X)^{-s}, \quad \Re(s) > 1.$$

This zeta function $Z_{\mathcal{L}, \mathcal{M}}(s)$ depends only on the isomorphism class of \mathcal{L} and \mathcal{M} . Since the local class number of maximal orders is 1, there is only one partial zeta function which coincides with the (total) zeta function, denoted $\zeta_A(s)$.

Solomon's first conjecture states that a partial zeta function only differs a polynomial from $\zeta_A(s)$:

$$Z_{\mathcal{L}, \mathcal{M}}(s)/\zeta_A(s) \in \mathbb{Z}[q, q^{-s}].$$

This was proved in 1981 by C. J. Bushnell and I. Reiner (cf. [BR, p. 147]).

For his second conjecture, Solomon considered a set $\{\mathcal{L}_i | 1 \leq i \leq h\}$ representing the isomorphism classes of left Θ -ideals in A (h is the local class number of Θ). He arranged the partial zeta functions in an $h \times h$ matrix $A = (Z_{\mathcal{L}_i, \mathcal{L}_j}(s))_{1 \leq i, j \leq h}$. For this matrix he showed that the inverse matrix $A^{-1} \in M_h(\mathbb{Z}[q, q^{-s}])$ (cf. [S]).

From this fact and the first conjecture he suggested that

$$\det(A)^{-1} = \pm \prod_j (1 - q^{a_j - ns})^{b_j} \quad \text{with } a_j, b_j \in \mathbb{N} \quad \text{and } b_j \neq 0.$$

In this paper we restrict our discussion to an important class of local orders, namely the hereditary orders. The structure theorem of Harada and Brumer provides that a hereditary R_v -order Θ is determined, up to isomorphism, by its local type r and local invariants $\eta = (n_1, \dots, n_r)$ with $n_j \neq 0$ and $\sum_{1 \leq j \leq r} n_j = n$ (cf. [R, Theorem 39.14]). We denote this hereditary order Θ^η . The integers n_j indicate a separation of the matrix ring $M_n(A)$ into blocks of size n_j (cf. Section 2). In fact the maximal orders can be viewed as hereditary orders with local type $r=1$. We first improve Solomon's first conjecture for these orders. This result is based on a better description of the partial zeta function, using normal forms. As a direct corollary we can prove the second conjecture for the hereditary orders. Moreover we obtain all the candidate values for $a_j : a_j = j, 0 \leq j < n$. In the second section we further calculate b_j . This involves a lot of technical calculations, using combinatorics. The combinatorial lemmas are proved in an appendix. We obtain

$$b_j = \binom{j+r-1}{r-1}.$$

2. THE PROOF OF SOLOMON'S SECOND CONJECTURE FOR HEREDITARY ORDERS Θ^η .

The structure of hereditary orders is well known by the Harada–Brumer theorem. To obtain a more useful description of the orders and their ideals, we introduce the following notation:

* We use Greek letters η, κ, λ to denote r -tuples of natural numbers, f.i., the local invariants of $\Theta^\eta : \eta = (n_1, \dots, n_r)$.

* The k th partial sum ($k \leq r$) of an r -tuple η is abbreviated $S_\eta(k) = \sum_{1 \leq i \leq k} n_i$. The local invariants η satisfy $S_\eta(r) = n$. We denote $\mathcal{T}_r(n) = \{r\text{-tuples } \kappa \mid S_\kappa(r) = n\}$.

* We frequently use a map η^* , associated to $\eta \in \mathcal{T}_r(n)$ and defined

$$\eta^*: \{1, \dots, n\} \rightarrow \{1, \dots, r\} \quad \text{such that} \quad S_\eta(\eta^*(i) - 1) < i \leq S_\eta(\eta^*(i)).$$

η^* is called the block map of η , since i belongs to the block $\eta^*(i)$.

The structure theorem for hereditary orders (cf. [R, Theorem 39.14]) can be reformulated as

$$\Theta^n = \{x \in M_n(A) \mid v_D(x_{i,j}) > 0 \text{ if } \eta^*(i) > \eta^*(j)\}.$$

We now determine a set of representatives for the isomorphism classes of left Θ^n -ideals in A . The isomorphism classes of indecomposable left Θ -lattices are represented by column matrices I_m , $m = 1, \dots, r$. With [R, Theorem 39.23] reformulated these are described as

$$I_m = \{x \in M_{n \times 1}(A) \mid v_D(x_i) > 0 \text{ if } \eta^*(i) > m\}.$$

A left Θ -ideal \mathcal{L} in A is a full Θ^n -lattice (i.e., $K\mathcal{L} = A$). \mathcal{L} can be constructed by arranging the elements of n column matrices I_m into $n \times n$ matrices:

$$\mathcal{L} = (I_{m_1}, \dots, I_{m_n}) = \{x \in M_n(A) \mid v_D(x_{i,j}) > 0 \text{ if } \eta^*(i) > m_j\}.$$

We are interested in isomorphism classes of such ideals, i.e., representatives under right multiplication with elements of A^* (the units of A), which can permute the columns in $M_n(A)$. A set of representatives for the isomorphism classes of left Θ -ideals in A is thus given by

$$\mathcal{L} = (I_{m_1}, \dots, I_{m_n}) \quad \text{with} \quad m_1 \leq m_2 \leq \dots \leq m_n.$$

Define the r -tuple $\kappa = (k_1, \dots, k_r) \in \mathcal{T}_r(n)$, where $k_i = \#\{j \mid m_j = i\}$; then $\mathcal{L} = \mathcal{L}_\kappa$ can be described as

$$\mathcal{L}_\kappa = \{x \in M_n(A) \mid v_D(x_{i,j}) > 0 \text{ if } \eta^*(i) > \kappa^*(j)\}.$$

LEMMA 1. *The total class number $h = \#\mathcal{T}_r(n) = \binom{n+r-1}{r-1}$.*

Proof. We only remark that every element $\kappa = (k_1, \dots, k_r) \in \mathcal{T}_r(n)$ can be viewed as a set with n elements, $\{1, \dots, 1, 2, \dots, 2, \dots, r, \dots, r\}$, containing k_i elements i . Counting the number of such sets yields the theorem. ■

For each pair of left Θ -ideals $\mathcal{L}_\kappa, \mathcal{L}_\lambda$ in A we defined a partial zeta function, which we briefly denote

$$Z_{\mathcal{L}_\lambda, \mathcal{L}_\kappa}(s) = Z_{\lambda, \kappa}(s).$$

As in [BR, p. 138] we introduce the notation $\{\mathcal{L} : \mathcal{M}\} = \{x \in M_n(D) \mid \mathcal{L}x \subseteq \mathcal{M}\}$. We write X^* for the unit group of X and $\Theta_{\mathcal{L}} = \{\mathcal{L} : \mathcal{L}\}$ for the right order of \mathcal{L} . With this notation,

$$Z_{\mathcal{L}, \mathcal{M}}(s) = (\mathcal{M} : \mathcal{L})^{-s} \sum_{x \in \Theta_{\mathcal{L}}^* \setminus \{\mathcal{L} : \mathcal{M}\} \cap A^*} \|x\|^s, \quad \Re(s) > 1. \quad (1)$$

We have to determine $\{\mathcal{L}_\lambda : \mathcal{L}_\kappa\}$; this is done in the following lemma:

LEMMA 2. $\{\mathcal{L}_\lambda : \mathcal{L}_\kappa\} = \{a \in M_n(\Delta) \mid v_D(a_{i,j}) > 0 \text{ if } \lambda^*(i) > \kappa^*(j)\}$.

Proof. We consider the Δ -basis $\{x_{k,l} \mid 1 \leq k, l \leq n\}$ of \mathcal{L}_λ defined by

$$x_{k,l} = (\delta_{ik} \delta_{jl} \pi^{v(k,l)}) \quad \text{with} \quad v(k,l) = \begin{cases} 1 & \text{if } \eta^*(k) > \lambda^*(l) \\ 0 & \text{if } \eta^*(k) \leq \lambda^*(l). \end{cases}$$

Then $a \in \{\mathcal{L}_\lambda : \mathcal{L}_\kappa\}$ if and only if $x_{k,l}a \in \mathcal{L}_\kappa$ for every k, l .

We calculate

$$(x_{k,l}a)_{i,j} = \delta_{ki} a_{l,j} \pi^{v(k,l)} = \begin{cases} 0 & \text{if } i \neq k \\ a_{l,j} \pi^{v(k,l)} & \text{if } i = k, \end{cases}$$

and thus

$$\begin{aligned} x_{k,l}a \in \mathcal{L}_\kappa &\Leftrightarrow v_D(a_{l,j}) + v(k,l) > 0 \quad \text{for } \eta^*(k) > \kappa^*(j) \\ &\Leftrightarrow a \in M_n(\Delta) \quad \text{and} \quad v_D(a_{l,j}) > 0 \\ &\quad \text{if } \kappa^*(j) < \eta^*(k) \leq \lambda^*(l) \text{ for some } k \\ &\Leftrightarrow a \in M_n(\Delta) \quad \text{and} \quad v_D(a_{l,j}) > 0 \quad \text{if } \kappa^*(j) < \lambda^*(l). \end{aligned}$$

This settles the proof. \blacksquare

As a direct corollary we obtain that the right order of \mathcal{L}_λ is the hereditary order Θ^λ .

The further determination of $Z_{\lambda,\kappa}(s)$ is inspired by the calculation of the zeta function $\zeta_A(s)$ of a maximal order $A \cong M_n(\Delta)$. We recall the following from [BR, Chap. 3.3]:

LEMMA 3.

$$\zeta_A(s) = \prod_{0 \leq j \leq n-1} (1 - q^{j-n s})^{-1}. \quad (2)$$

Proof. applying formula (1) to the maximal order $A = \Theta^{(n)}$ we find

$$\zeta_A(s) = \sum_{x \in M_n(\Delta)^* \setminus M_n(\Delta) \cap A^*} \|x\|^s.$$

The fact that every element $x \in M_n(A) \cap A^*$ has a unique “Hermite-normal form,” obtained by left multiplication with $M_n(A)^*$, yields

$$\zeta_A(s) = \sum_{x \text{ a Hermite-normal form}} \|x\|^s.$$

The Hermite-normal form x is a reduced upper-triangular matrix. The diagonal entries are of the form $x_{j,j} = \pi^{m_j}$. We say that x belongs to $(m_j) \in \mathbb{N}^n$. Moreover we find that $\|x\| = q^{-(m_1 + \dots + m_n)n}$ and we recall from [BR, D] that the number of Hermite-normal forms in $M_n(A) \cap A^*$ belonging to (m_j) is

$$q^{0m_1 + 1m_2 + \dots + (n-1)m_n}.$$

This yields

$$\begin{aligned} \zeta_A(s) &= \sum_{(m_j) \in \mathbb{N}^n} q^{0m_1 + 1m_2 + \dots + (n-1)m_n} \prod_{1 \leq j \leq n} (q^{-ns})^{m_j} \\ &= \sum_{(m_j) \in \mathbb{N}^n} \prod_{1 \leq j \leq n} (q^{j-1-ns})^{m_j} = \prod_{1 \leq j \leq n} (1 - q^{j-1-ns})^{-1}. \quad \blacksquare \end{aligned}$$

The calculation of $\zeta_A(s)$ depends on a good defined Hermite-normal form. For a hereditary order Θ^λ we showed in [D p. 36], that every element $x \in \Theta^\lambda \cap A^*$ can be reduced to some “normal form” by left multiplication with $(\Theta^\lambda)^*$. This reduction is called the “ Θ^λ -normal form” of x . It is easy to extend this reduction to all elements $x \in M_n(A) \cap A^*$.

The main difference between the Hermite-normal form and the Θ^λ -normal form of x is that the latter is no longer an upper-triangular matrix (ie., $x_{i,j} = 0$ if $j < i$), but rather depends on a permutation $\sigma \in S_n$ such that $x_{i,j} = 0$ if $j < \sigma(i)$.

We extend the definition of Θ^λ -normal form to $x \in M_n(A) \cap A^*$:

* Denote by $S^{(\lambda)} = \{\sigma \in S_n \mid \lambda^*(i) = \lambda^*(i') \text{ and } i < i' \Rightarrow \sigma(i) < \sigma(i')\}$ the set of (λ) -admissible permutations.

* $x \in M_n(A) \cap A^*$ is an Θ^λ -normal form, belonging to $\sigma \in S^{(\lambda)}$ and $(m_j) \in \mathbb{N}^n$ if and only if

$$\begin{aligned} x_{i,\sigma(i)} &= \pi^{m_{\sigma(i)}} \\ x_{i,j} &= 0 \quad \text{if } j < \sigma(i) \\ x_{i,j} &\in \begin{cases} A/\not\pi^{m_j} & \text{if (s1)} \\ A/\not\pi^{m_j+1} & \text{if (s2),} \end{cases} \end{aligned}$$

where (s1) and (s2) are the following conditions on (i, j) :

$$(s1) \quad j > \sigma(i) \quad \text{and} \quad \sigma^{-1}(j) > i.$$

$$(s2) \quad j > \sigma(i) \quad \text{and} \quad \sigma^{-1}(j) < i.$$

In order to calculate the partial zeta functions we have to restrict to Θ^λ -normal forms $x \in \{\mathcal{L}_\lambda : \mathcal{L}_\kappa\} \subset M_n(A)$. In view of Lemma 2 we add a condition on (i, j) :

$$(s3) \quad \kappa^*(j) < \lambda^*(i).$$

This yields the condition $v_D(x_{i,j}) > 0$ if (s3), and if $x \in \{\mathcal{L}_\lambda : \mathcal{L}_\kappa\}$ belongs to (m_j) then

$$(m1) \quad m_j > 0 \quad \text{if} \quad \kappa^*(j) < \lambda^*(\sigma^{-1}(j)).$$

As for Hermite-normal forms we find that the module of an Θ^λ -normal form x belonging to (m_j) is $\|x\| = q^{-(m_1 + \dots + m_n)n}$. For the number of Θ^λ -normal forms in $\{\mathcal{L}_\lambda : \mathcal{L}_\kappa\} \cap A^*$ belonging to $\sigma \in S^{(\lambda)}$ and $(m_j) \in \mathbb{N}^n$ satisfying (m1), we obtain

$$q^{N_\sigma(\lambda, \kappa) + 0m_1 + 1m_1 + \dots + (n-1)m_n}.$$

It is important to remark that the correction $N_\sigma(\lambda, \kappa)$ depends on $\sigma \in S^{(\lambda)}$, λ, κ but not on (m_j) . Namely $N_\sigma(\lambda, \kappa) = N_\sigma^+(\lambda, \kappa) - N_\sigma^-(\lambda, \kappa)$ with

$$N_\sigma^+(\lambda, \kappa) = \# \{ (i, j) \mid (s2) \text{ holds but } (s3) \text{ does not} \}$$

$$N_\sigma^-(\lambda, \kappa) = \# \{ (i, j) \mid (s1) \text{ and } (s3) \text{ hold} \}.$$

Before calculating $\mathbb{Z}_{\lambda, \kappa}(s)$ we still need the following lemma:

LEMMA 4. *For every subset $I \subseteq \{1, \dots, n\}$,*

$$\sum_{\substack{(m_j) \in \mathbb{N}^n \\ m_j > 0 \text{ if } j \in I}} \prod_{1 \leq j \leq n} (f_j(s))^{m_j} = \prod_{1 \leq j \leq n} (1 - f_j(s))^{-1} \prod_{j \in I} f_j(s).$$

Proof. Remark first that $\sum_{m_j \in \mathbb{N}} (f_j(s))^{m_j} = (1 - f_j(s))^{-1}$ (in the domain of convergence). For any function $g(s)$ we split up the sum as

$$\sum_{\substack{(m_j) \\ m_j > 0 \text{ if } j \in I}} g(s) = \sum_{(m_j)} g(s) - \sum_{k \in I} \sum_{\substack{(m_j) \\ m_k = 0}} g(s) + \dots + (-1)^{\#I} \sum_{\substack{(m_j) \\ m_k = 0 \text{ if } k \in I}} g(s)$$

and thus for $g(s) = \prod_{1 \leq j \leq n} (f_j(s))^{m_j}$ we obtain

$$\begin{aligned} \sum_{\substack{(m_j) \\ m_j > 0 \text{ if } j \in I}} g(s) &= \prod_{1 \leq j \leq n} (1 - f_j(s))^{-1} \\ &\quad \cdot \left(1 - \sum_{k \in I} (1 - f_k(s)) + \cdots + (-1)^{\#I} \prod_{k \in I} (1 - f_k(s)) \right) \\ &= \prod_{1 \leq j \leq n} (1 - f_j(s))^{-1} \prod_{j \in I} f_j(s). \end{aligned}$$

This settles the proof. ■

We can now formulate a refinement of Solomon's first conjecture for the partial zeta functions of hereditary orders:

THEOREM 5. $Z_{\lambda, \kappa}(s) (\mathcal{L}_\kappa : \mathcal{L}_\lambda)^s / \zeta_A(s) = f_{\lambda, \kappa}(s) \in \mathbb{Z}[q, q^{-ns}]$. Moreover,

$$f_{\lambda, \kappa}(s) = \sum_{\sigma \in S^{(\lambda)}} q^{N_\sigma(\lambda, \kappa)} \prod_{j \in I_\sigma(\lambda, \kappa)} q^{j-1-ns}, \quad (3)$$

where $I_\sigma(\lambda, \kappa) = \{j \mid \kappa^*(j) < \lambda^*(\sigma^{-1}(j))\}$.

Proof. From (1) we obtain that $Z_{\lambda, \kappa}(s) = (\mathcal{L}_\kappa : \mathcal{L}_\lambda)^{-s} g(s)$ with

$$\begin{aligned} g(s) &= \sum_{x \in (\Theta^\lambda)^* \setminus \{\mathcal{L}_\lambda : \mathcal{L}_\kappa\} \cap A^*} \|x\|^s = \sum_{\Theta^\lambda\text{-normal form } x \text{ in } \{\mathcal{L}_\lambda : \mathcal{L}_\kappa\}} \|x\|^s \\ &= \sum_{\sigma \in S^{(\lambda)}} \sum_{\substack{(m_j) \in \mathbb{N}^n \\ m_j > 0 \text{ if } j \in I_\sigma(\lambda, \kappa)}} q^{N_\sigma(\lambda, \kappa)} \prod_{1 \leq j \leq n} (q^{j-1-ns})^{m_j} \\ &= \sum_{\sigma \in S^{(\lambda)}} q^{N_\sigma(\lambda, \kappa)} \sum_{\substack{(m_j) \\ m_j > 0 \text{ if } j \in I_\sigma(\lambda, \kappa)}} \prod_{1 \leq j \leq n} (q^{j-1-ns})^{m_j}. \end{aligned}$$

By applying Lemma 4 with $I = I_\sigma(\lambda, \kappa)$ and $f_j(s) = q^{j-1-ns}$ we obtain

$$\begin{aligned} g(s) &= \sum_{\sigma \in S^{(\lambda)}} q^{N_\sigma(\lambda, \kappa)} \prod_{0 \leq j \leq n-1} (1 - q^{j-ns})^{-1} \prod_{j \in I_\sigma(\lambda, \kappa)} q^{j-1-ns} \\ &= \zeta_A(s) \cdot f_{\lambda, \kappa}(s) \end{aligned}$$

with $f_{\lambda, \kappa}(s)$ given above. ■

The proof of Solomon's second conjecture only depends on the refinement, obtained in Theorem 5, $f_{\lambda, \kappa}(s) \in \mathbb{Z}[q, q^{-ns}]$.

Since $\{\mathcal{L}_\lambda \mid \lambda \in \mathcal{T}_r(n)\}$ is a set of representatives for the isomorphism

classes of left \mathcal{O}^n -ideals, we must consider the matrix $A = (Z_{\lambda, \kappa}(s))_{\lambda, \kappa \in \mathcal{T}_r(n)}$ and calculate the determinant.

COROLLARY 6. $\det(A)^{-1} = \pm \prod_{0 \leq j \leq n-1} (1 - q^{j-ns})^{b_j}$ with $0 \leq b_j \leq h$.

Proof. We denote $X = q^{-s}$ and $Y = X^n$. Solomon showed that $\det(A)^{-1} \in \mathbb{Z}[q, X]$. From Theorem 5 we obtain

$$\det(A) = \det((\mathcal{L}_\kappa : \mathcal{L}_\lambda)^{-s} \zeta_A(s) f_{\lambda, \kappa}(s)) = (\zeta_A(s))^h \det(f_{\lambda, \kappa}(s)) \quad (*)$$

(namely for every permutation P of $\mathcal{T}_r(n)$ $\prod_{\lambda \in \mathcal{T}_r(n)} (\mathcal{L}_\lambda : \mathcal{L}_{P(\lambda)}) = 1$). Expression (2) for $\zeta_A(s)$ and expression (3) for $f_{\lambda, \kappa}(s) \in \mathbb{Z}[q, Y]$ remain. It follows that

$$\det(A)^{-1} \in \mathbb{Z}[q][X] \cap \mathbb{Z}[q](Y) = \mathbb{Z}[q, Y].$$

We rewrite (*) and consider the factors as elements of $\mathbb{Z}[q, Y]$:

$$\det(A)^{-1} \det(f_{\lambda, \kappa}(s)) = \prod_{0 \leq j \leq n-1} (1 - q^j Y)^{b_j}. \quad (**)$$

Remark now that the factors $(1 - q^j Y)$ are irreducible in $\mathbb{Z}[q, Y]$, namely

$$(1 - q^j Y) = f(q, Y) g(q, Y) \quad \text{yields} \quad \deg_Y f = 0 \quad \text{and} \quad \deg_Y g = 1,$$

and thus

$$(1 - q^j Y) = f_0(q)(g_0(q) + g_1(q) Y).$$

We conclude that

$$f_0(q) g_0(q) = 1 \quad \text{so} \quad f(q, Y) = f_0(q) \text{ is a unit in } \mathbb{Z}[q, Y].$$

Since $\mathbb{Z}[q, Y]$ is a unique factorization ring we conclude from (**) that $\det(A)^{-1}$ has the required form. ■

Moreover as candidate values for a_j we obtain $a_j = j$, $0 \leq j < n$. In the next section we will calculate b_j explicitly.

3. DETERMINATION OF b_j

As in the proof of Corollary 6 we introduce the notation $Y = q^{-ns}$. It is also useful to introduce the matrix of polynomials

$$F = (f_{\lambda, \kappa}(s))_{\lambda, \kappa \in \mathcal{T}_r(n)} \in M_h(\mathbb{Z}[q, Y]).$$

It is quite obvious from the proof of Corollary 6 that we can calculate b_j from $\det(F)$, which is an expression in $\mathbb{Z}[q, Y]$:

$$\det(F) = \det(f_{\lambda, \kappa}(s)) = \prod_{0 \leq j \leq n-1} (1 - q^j Y)^{h-b_j}.$$

We now consider $\det(F)$ as a polynomial in Y with coefficients in $\mathbb{Z}[q]$. The coefficient of Y determines b_j completely since

$$\det(F) = 1 - Y \left\{ \sum_{0 \leq j \leq n-1} (h-b_j) q^j \right\} + \dots \quad (4)$$

Therefore we can restrict our discussion to the calculation of $\det(\bar{F}) = \det(F) \bmod Y^2$. The entries of F are given in Theorem 5:

$$f_{\lambda, \kappa}(s) = \sum_{\sigma \in S^{(\lambda)}} q^{N_{\sigma}(\lambda, \kappa)} \prod_{j \in I_{\sigma}(\lambda, \kappa)} (q^{j-1} Y).$$

To determine $\overline{f_{\lambda, \kappa}(s)} = f_{\lambda, \kappa}(s) \bmod Y^2$ we restrict ourselves to $\sigma \in S^{(\lambda)}$ with $\#I_{\sigma}(\lambda, \kappa) \leq 1$. To simplify the notation we set $I_{\sigma}(\lambda, \kappa) = \{0\}$ if this set is empty. From now on we fix the following notation: $I_{\sigma}(\lambda, \kappa) = \{b\}$ with $0 \leq b \leq n$ and $b = \sigma(i_o)$. Moreover we denote $\lambda^*(i_o) = M_{\sigma}$ and $\kappa^*(b) = m_{\sigma} < r$. If $b=0$ then we define $i_o = n+1$, $M_{\sigma} = r+1$, and $m_{\sigma} = r$. We write $\overline{f_{\lambda, \kappa}(s)}$ by assembling all the terms corresponding to b .

Denote with

$$C_b(\lambda, \kappa) = \sum_{\substack{\sigma \in S^{(\lambda)} \\ I_{\sigma}(\lambda, \kappa) = \{b\}}} q^{N_{\sigma}(\lambda, \kappa)} \in \mathbb{Z}[q], \quad 0 \leq b \leq n.$$

Then

$$\overline{f_{\lambda, \kappa}(s)} = C_0(\lambda, \kappa) + Y \sum_{1 \leq b \leq S_{\kappa}(r-1)} C_b(\lambda, \kappa) q^{b-1}.$$

In the appendix we proved some combinatorial lemmas to simplify the calculation of $C_b(\lambda, \kappa)$.

LEMMA 7. Take $\lambda, \kappa \in \mathcal{T}_r(n)$.

- (i) $S_{\kappa}(k) = S_{\lambda}(k) + N$ with $N \geq 2$ for some k then $\overline{f_{\lambda, \kappa}(s)} = 0$.
- (ii) If $S_{\kappa}(k) = S_{\lambda}(k) + 1$ for some k then $C_0(\lambda, \kappa) = 0$.

Proof. This is a direct consequence of Lemma A.1. ■

In view of this, we introduce an ordering on $\mathcal{T}_r(n)$ such that above the diagonal of \bar{F} , a lot of zeros appear:

DEFINITION. $\lambda < \kappa \Leftrightarrow$ there exists $m < r$ such that $S_\lambda(j) = S_\kappa(j)$ for every $j < m$ and $S_\lambda(m) < S_\kappa(m)$.

Not only do we obtain a lot of zeros above the diagonal but we also find that if $\lambda < \kappa$ (above the diagonal) then $\overline{f_{\lambda, \kappa}}(s) \in Y\mathbb{Z}[q]$. So, in order to calculate $\det(\bar{F})$ we only need to calculate the constant term C_0 for the entries under the diagonal. The aim is to define column transformations that transform \bar{F} into an under-triangular matrix. The influences on the diagonal entries are calculated, and finally we obtain $\det(\bar{F})$ as the product of these diagonal entries.

We first investigate some relations between the entries. The following notation is used:

$$[k] = \frac{q^k - 1}{q - 1}, \quad k \geq 0.$$

To $m < r$ and κ with $k_m > 0$ we associate $\kappa_m = (k_1, \dots, k_m - 1, \dots, k_r + 1) \in \mathcal{T}_r(n)$. The elements above the diagonal $\lambda < \kappa$ for which $S_\kappa(j) - 1 \leq S_\lambda(j) \leq S_\kappa(j)$ for every $j = 1, \dots, r$ are calculated in terms of $C_0(\lambda, \kappa_m)$ in the following theorem:

THEOREM 8. For $\lambda < \kappa$ with $S_\kappa(j) - 1 \leq S_\lambda(j) \leq S_\kappa(j)$ for every $j = 1, \dots, r$, $\overline{f_{\lambda, \kappa}}(s) = Y \sum_{1 \leq m < r} [k_m] q^{S_\kappa(m-1)} C_0(\lambda, \kappa_m)$.

Proof. Remark that the contribution of m is 0 if $k_m = 0$. So let us assume now that $k_m > 0$. The essential combinatorial property is proved in Lemma A.6:

$$C_b(\lambda, \kappa) = C_0(\lambda, \kappa_m) \quad \text{if } \kappa^*(b) = m < r.$$

We obtain

$$\begin{aligned} \overline{f_{\lambda, \kappa}}(s) &= Y \sum_{1 \leq b \leq S_\kappa(r-1)} C_b(\lambda, \kappa) q^{b-1} \\ &= Y \sum_{1 \leq m < r} C_0(\lambda, \kappa_m) \sum_{b \text{ with } \kappa^*(b) = m} q^{b-1} \\ &= Y \sum_{1 \leq m < r} C_0(\lambda, \kappa_m) q^{S_\kappa(m-1)} \sum_{0 \leq j \leq k_m-1} q^j \\ &= Y \sum_{1 \leq m < r} [k_m] q^{S_\kappa(m-1)} C_0(\lambda, \kappa_m). \quad \blacksquare \end{aligned}$$

To simplify the description of $\sigma \in S^{(\lambda)}$ we define

DEFINITION. σ has a decent i_1 on B if $i_1 \in B$ and there exists $j \in B$ with $i_1 < j$ and $\sigma(i_1) > \sigma(j)$.

In the next theorem we calculate the diagonal entries of \bar{F} :

THEOREM 9. *The diagonal entry of \bar{F} corresponding to $\lambda = \kappa$ is*

$$\overline{f_{\lambda, \kappa}(s)} = 1 + Y \sum_{1 \leq m < r} C_m^r(\kappa) \sum_{b \text{ with } \kappa^*(b) = m} q^{b-1}$$

with

$$C_m^r(\kappa) = q \sum_{m+1 \leq M \leq r} [k_M] \prod_{m < s < M} [k_s + 1].$$

Proof. Let $\sigma \in S^{(\lambda)}$ with $I_\sigma(\lambda, \kappa) = \emptyset$; then $m_\sigma = r$. Lemma A.4 yields $i = \sigma(i)$ for every $i \leq S_\lambda(r-1)$. This implies however that σ is the identity for which $N_\sigma(\lambda, \kappa) = 0$, and $C_0(\lambda, \kappa) = 1$ follows.

The calculation of $C_b(\lambda, \kappa)$ with $b > 0$ depends on Lemmas A.7 and A.8. Let $S^{(\lambda)}(b, M)$ denote the set of all $\sigma \in S^{(\lambda)}$ with $I_\sigma(\lambda, \kappa) = \{b\}$ and $M_\sigma = M$. Let $m = \kappa^*(b) < r$. From Lemma A.4 (ii and iii), $\lambda^*(i) = \kappa^*(\sigma(i))$ for $i < S_\lambda(m)$ or $i > i_o$. This determines $\sigma(i)$ completely since $\sigma \in S^{(\lambda)}$ and $\lambda = \kappa$. For $m < s \leq M$ fixed we consider now $B_s = \{i \neq i_o \mid \lambda^*(i) \leq s \leq \kappa^*(\sigma(i))\}$. From Lemma A.8, $\sigma \in S^{(\lambda)}(b, M)$ has at most one descent i_1 on B_s and

$$\# B_s = \begin{cases} k_s + 1 & \text{if } s \neq M \\ k_s & \text{if } s = M. \end{cases}$$

The conditions of Lemma A.7 are satisfied. By starting from σ_0 with no descents on B_s for every $m < s \leq M$ and considering the sets S_{σ_o} as in Lemma A.7 we can generate every $\sigma \in S^{(\lambda)}(b, M)$. Moreover σ_o is defined by

$$\sigma_o(i) = \begin{cases} i & \text{if } i < b \text{ or } i_o < i \\ i+1 & \text{if } b \leq i < i_o \\ b & \text{if } i = i_o. \end{cases}$$

Since $N_{\sigma_o}^- = 0$ and (i_o, i_o) is the only value of (i, j) contributing to $N_{\sigma_o}^+$ we find $N_{\sigma_o} = 1$. By applying Lemma A.7 we obtain

$$\sum_{\sigma \in S^{(\lambda)}(b, M)} q^{N_{\sigma}(\lambda, \kappa)} = q \prod_{m < s \leq M} [\# B_s] = q \cdot [k_M] \prod_{m < s < M} [k_s + 1]$$

Note from the proof of Lemma A.6(**) that i_o is completely determined by $M = \lambda^*(i_o)$; therefore

$$\{\sigma \in S^{(\lambda)} \mid I_\sigma(\lambda, \kappa) = \{b\}\} = \bigcup_{m < M \leq r} S^{(\lambda)}(b, M).$$

The expression for $C_b(\lambda, \kappa)$ follows and only depends on $m = \kappa^*(b)$. ■

The results of Theorem 8 and the fact that $C_0(\lambda, \lambda) = 1$ (Theorem 9) are sufficient to define column transformations to reduce all the entries above the diagonal ($\lambda < \kappa$) in \bar{F} to zero. This is done in the following theorem.

THEOREM 10. Consider the following column transformations on $\kappa, \kappa \in \mathcal{T}_r(n)$:

- (i) column $\kappa - \sum_{1 \leq m < r} C(\kappa_m) Y \times$ column κ_m with $C(\kappa_m) = q^{S_\kappa(m-1)}[k_m] = \sum_{b \text{ with } \kappa^*(b) = m} q^{b-1}$.
- (ii) column $\kappa - \sum_{\kappa' \in \mathcal{T}_\kappa} C(\kappa') Y \times$ column κ' with $\mathcal{T}_\kappa = \{\kappa' \in \mathcal{T}_r(n) \mid \kappa' < \kappa \text{ and } S_{\kappa'}(j) > S_\kappa(j) \text{ for some } j\}$ and $C(\kappa'), \kappa' \in \mathcal{T}_r(n)$ defined inductively as:

$$\overline{f_{\kappa', \kappa}(Y)} - Y \sum_{1 \leq m < r} C(\kappa_m) C_0(\kappa', \kappa_m) - Y \sum_{\substack{\kappa' < \kappa'' \\ \kappa'' \in \mathcal{T}_\kappa}} C(\kappa'') C_0(\kappa', \kappa'') = Y C(\kappa').$$

Then the entries above the diagonal in \bar{F} are reduced to zero.

Proof. Remark that $C(\kappa_m) = 0$ if $k_m = 0$. These column transformations replace a particular entry $\overline{f_{\lambda, \kappa}(s)}$ by

$$\overline{f_{\lambda, \kappa}(s)} - Y \sum_{1 \leq m < r} C(\kappa_m) C_0(\lambda, \kappa_m) - Y \sum_{\kappa' \in \mathcal{T}_\kappa} C(\kappa') C_0(\lambda, \kappa').$$

Since $C_0(\lambda, \kappa)$ does not change by these transformations, the order in which we transform the columns is of no importance.

We check now that all entries above the diagonal, i.e., corresponding to $\lambda < \kappa$, are reduced to zero:

(a) $\lambda < \kappa$ and $S_\kappa(j) - 1 \leq S_\lambda(j) \leq S_\kappa(j)$ for every j . For $\kappa' \in \mathcal{T}_\kappa$ we deduce $S_{\kappa'}(j) \geq S_\lambda(j) + 1$; Lemma 7(ii) yields $C_0(\lambda, \kappa') = 0$. The entry $\overline{f_{\lambda, \kappa}(s)}$ is transformed into

$$\overline{f_{\lambda, \kappa}(s)} - Y \sum_{1 \leq m \leq r} C(\kappa_m) C_0(\lambda, \kappa_m).$$

From Theorem 8 and the definition of $C(\kappa_m)$ we conclude that this is zero.

(b) $\lambda < \kappa$ and $S_\lambda(j) \leq S_\kappa(j)$ for every j but $S_\lambda(j_o) < S_\kappa(j_o) - 1$ for some j_o . From Lemma 7(ii), $\overline{f_{\lambda, \kappa}(s)} = 0$, but the transformations do not change it:

$$\rightarrow S_\lambda(j_o) + 1 \leq S_\kappa(j_o) - 1 \leq S_{\kappa_m}(j_o), \text{ for every } m, \text{ yields } C_0(\lambda, \kappa_m) = 0.$$

$$\rightarrow \kappa' \in \mathcal{T}_\kappa : S_{\kappa'}(j) > S_\kappa(j) \geq S_\lambda(j) \text{ yields } C_0(\lambda, \kappa') = 0.$$

(c) $\lambda < \kappa$ and $S_\lambda(j) > S_\kappa(j)$ for some j . Since $C_0(\lambda, \kappa') = 0$ for $\kappa' > \lambda$, the column transformations replace the entry by

$$\overline{f_{\lambda, \kappa}(s)} - Y \sum_{1 \leq m < r} C(\kappa_m) C_0(\lambda, \kappa_m) - Y \sum_{\kappa' \leq \lambda \text{ and } \kappa' \in \mathcal{T}_\kappa} C(\kappa') C_0(\lambda, \kappa').$$

But $\lambda \in \mathcal{T}_\kappa$ and $C_0(\lambda, \lambda) = 1$ (cf. Theorem 9). It follows from the definition of $C(\lambda)$ that this entry is also reduced to zero. ■

We are now able to calculate $\det(\bar{F})$ if we know how the diagonal entries, corresponding to $\lambda = \kappa$, are influenced by the column transformations, described above. This is done in the following two lemmas.

We first calculate $C_0(\kappa, \kappa_m)$ for $k_m > 0$:

LEMMA 11. *If $k_m > 0$ then*

$$C_0(\kappa, \kappa_m) = \prod_{m < s \leq r} [k_s + 1].$$

Proof. The proof is similar to the proof of Theorem 9.

Denote $S^{(\kappa)}(m, 0) = \{\sigma \in S^{(\kappa)} \mid I_\sigma(\kappa, \kappa_m) = \emptyset\}$. From Lemma A.4(i), we find $\sigma \in S^{(\kappa)}(m, 0) : \sigma(i) = i$ if $i < S_\kappa(m)$. For $s > m$ we consider $B_s = \{i \mid \kappa^*(i) \leq s \leq \kappa_m^*(\sigma(i))\} = \{i_1 < \dots < i_k\}$. From lemma A.8, $\#B_s = k_s + 1$ and σ has at most one descent i_1 on B_s . Check that the conditions of Lemma A.7 are satisfied. Let $\sigma_0 \in S^{(\kappa)}(m, 0)$ with no descents on B_s for $m < s \leq r$; then σ_0 is the identity, so $N_{\sigma_0}(\kappa, \kappa_m) = 0$. Starting from σ_0 we can generate $S^{(\kappa)}(m, 0)$, so we obtain from Lemma A.7

$$C_0(\kappa, \kappa_m) = \sum_{\sigma \in S^{(\kappa)}(m, 0)} q^{N_\sigma(\kappa, \kappa_m)} = \prod_{m < s \leq r} [k_s + 1]. \quad \blacksquare$$

LEMMA 12. *The column transformations, described in Theorem 10, replace a diagonal entry, corresponding to $\lambda = \kappa$, by*

$$1 - Y[S_\kappa(r - 1)].$$

Proof. Recall from Theorem 9 that a diagonal entry is

$$\overline{f_{\kappa, \kappa}(s)} = 1 + Y \sum_{1 \leq m < r} C_m^r(\kappa) \sum_{b \text{ with } \kappa^*(b) = m} q^{b-1}$$

with

$$C_m^r(\kappa) = q \sum_{m+1 \leq M \leq r} [k_M] \prod_{m < s \leq M} [k_s + 1].$$

For every $\kappa' \in \mathcal{T}_\kappa$ Lemma 7(ii) yields $C_0(\kappa, \kappa') = 0$. The column transformations described in Theorem 10 transform $C_m^r(\kappa)$ into $D_m^r(\kappa) = C_m^r(\kappa) - C_0(\kappa, \kappa_m)$.

Using lemma 11 we find $D_m^r(\kappa) = C_m^r(\kappa) - \prod_{m < s \leq r} [k_s + 1]$.

Claim. $D_m^r(\kappa) = -1$. We prove this by induction on $r > m$:

* $r = m + 1 : D_m^r(\kappa) = q[k_r] - [k_r + 1] = -1.$

* Assume that $D_m^{r-1}(\kappa) = -1.$

We split off the term in $C_m^r(\kappa)$ corresponding to r :

$$\begin{aligned} D_m^r(\kappa) &= C_m^{r-1}(\kappa) + q[k_r] \prod_{m < s < r} [k_s + 1] - \prod_{m < s \leq r} [k_s + 1] \\ &= C_m^{r-1}(\kappa) + \prod_{m < s < r} [k_s + 1] (q[k_r] - [k_r + 1]) \\ &= C_m^{r-1}(\kappa) - \prod_{m < s \leq r-1} [k_s + 1] = D_m^{r-1}(\kappa) = -1. \end{aligned}$$

We conclude that the diagonal entry is replaced by

$$1 - Y \sum_{1 \leq m < r} \sum_{b \text{ with } \kappa^*(b) = m} q^{b-1} = 1 - Y \sum_{0 \leq b < S_\kappa(r-1)} q^b.$$

This settles the proof. ■

Now we can calculate $\det(\bar{F})$ and determine the exact values of b_j :

THEOREM 13. *For a local hereditary order in $M_n(D)$ with local type r , Solomon's second conjecture is true and*

$$\det(A)^{-1} = \prod_{0 \leq j \leq n-1} (1 - q^j Y)^{\binom{j+r-1}{r-1}}.$$

Proof. The determinant of (\bar{F}) is the product of the diagonal entries in the reduced matrix:

$$\det(\bar{F}) = \prod_{\kappa \in \mathcal{T}_1(n)} (1 - Y[S_\kappa(r-1)]).$$

From Lemma 1 we find that the number of r -tuples $\kappa \in \mathcal{T}_r(n)$ with $S_\kappa(r-1) = k$ is $\#\mathcal{T}_{r-1}(k) = \binom{k+r-2}{r-2}$. This yields the relation

$$\sum_{0 \leq k \leq n} \binom{k+r-2}{r-2} = \binom{n+r-1}{r-1} = h.$$

We calculate $\det(\bar{F}) \bmod Y^2$:

$$\begin{aligned} \det(\bar{F}) &= 1 - Y \left\{ \sum_{0 \leq k \leq n} \binom{k+r-2}{r-2} [k] \right\} \\ &= 1 - Y \left\{ \sum_{0 \leq j < n} q^j \sum_{j < k \leq n} \binom{k+r-2}{r-2} \right\} \\ &= 1 - Y \left\{ \sum_{0 \leq j < n} q^j \left[h - \sum_{0 \leq k \leq j} \binom{k+r-2}{r-2} \right] \right\} \\ &= 1 - Y \left\{ \sum_{0 \leq j < n} q^j \left[h - \binom{j+r-1}{r-1} \right] \right\}. \end{aligned}$$

Using (4) the exact values of b_j follow. ■

4. APPENDIX

In this section we prove some “combinatorial” lemmas to simplify the calculation of

$$C_b(\lambda, \kappa) = \sum_{\substack{\sigma \in S^{(\lambda)} \\ I_\sigma(\lambda, \kappa) = \{b\}}} q^{N_\sigma(\lambda, \kappa)} \in \mathbb{Z}[q], \quad 0 \leq b \leq n.$$

LEMMA A.1. *Let $\lambda, \kappa \in \mathcal{T}_r(n)$ such that for some $k : S_\kappa(k) = S_\lambda(k) + N$, $N \geq 0$, there exist i_1, \dots, i_N with $\lambda^*(i_k) > k > \kappa^*(\sigma(i_k))$. This also yields $\#I_\sigma(\lambda, \kappa) \geq N$ for every $\sigma \in S^{(\lambda)}$.*

Proof. Let $\sigma \in S^{(\lambda)}$; then

$$\# \{i \mid \lambda^*(i) \leq k\} = S_\lambda(k)$$

$$\# \{i \mid \kappa^*(\sigma(i)) \leq k\} = S_\kappa(k) = S_\lambda(k) + N.$$

So there exist i_1, \dots, i_N with $\lambda^*(i) > k$ and $\kappa^*(\sigma(i)) \leq k$. ■

From now on we restrict our discussion to $\sigma \in S^{(\lambda)}$ with $I_\sigma(\lambda, \kappa) = \{b = \sigma(i_o)\}$, $0 \leq b < n$. Recall that $m_\sigma = \kappa^*(b)$ and $M_\sigma = \lambda^*(i_o)$, with $i_o = n + 1$, $m_\sigma = r$, and $M_\sigma = r + 1$ if $b = 0$.

LEMMA A.2. *Let $\lambda, \kappa \in \mathcal{T}_r(n)$; then*

$$M_\sigma > j \geq m_\sigma \quad \text{for every } j \text{ satisfying } S_\kappa(j) - 1 = S_\lambda(j).$$

Proof. From Lemma A.1 there exists i_1 with $\lambda^*(i_1) > j \geq \kappa^*(\sigma(i_1))$. This implies $\sigma(i_1) \in I_\sigma(\lambda, \kappa)$ and thus $i_1 = i_o$. ■

LEMMA A.3. *Let $\lambda, \kappa \in \mathcal{T}_r(n)$ with $S_\lambda(k) = S_\kappa(k)$ and $k < m_\sigma$ or $k \geq M_\sigma$; then*

$$\lambda^*(i) \leq k \Leftrightarrow \kappa^*(\sigma(i)) \leq k.$$

Proof. $\# \{i \mid \lambda^*(i) \leq k\} = S_\lambda(k) = \# \{i \mid \kappa^*(\sigma(i)) \leq k\} = S_\kappa(k)$. We must show that the sets are equal. If not then there exist i_1, i_2 with $\lambda^*(i_1) > k \geq \kappa^*(\sigma(i_1))$ and $\lambda^*(i_2) \leq k < \kappa^*(\sigma(i_2))$. But then $\sigma(i_1) \in I_\sigma(\lambda, \kappa)$, so $i_1 = i_o$, which implies $m_\sigma \leq k < M_\sigma$, contradicting the conditions on k . ■

LEMMA A.4. *Let $\lambda, \kappa \in \mathcal{T}_r(n)$ denote, with $m \leq m_\sigma$, the greatest value for which $l_i = k_i$ for $i < m$ and, with $M \geq M_\sigma$, the smallest value for which $l_i = k_i$ for $i \geq M$; then*

$$\sigma(i) = i \quad \text{if } \lambda^*(i) < m \quad \text{or} \quad \lambda^*(i) \geq M > M_\sigma.$$

Moreover:

- (i) if $l_m = k_m + 1$ and $I_\sigma(\lambda, \kappa) = \emptyset$ then $\lambda^*(i) = \kappa^*(\sigma(i))$ for $i < S_\lambda(m)$;
- (ii) if $l_m = k_m$ then $\lambda^*(i) = \kappa^*(\sigma(i))$ for $i < S_\lambda(m_\sigma)$;
- (iii) if $l_M = k_M$ then $\lambda^*(i) = \kappa^*(\sigma(i))$ for $i > i_o$.

Proof. From Lemma A.3 we obtain $k = \lambda^*(i) = \kappa^*(\sigma(i))$ if $k < m$ or $k \geq M > M_\sigma$. Since $\sigma \in S^{(\lambda)}$ this implies $\sigma(i) = i$ for these i .

(i) Remark that $\# \{i \mid \lambda^*(i) = m\} = l_m = \# \{i \mid \kappa^*(\sigma(i)) = m\} + 1$. So there is at least one i in the first set ($\lambda^*(i) = m$) that does not belong to the second set ($\kappa^*(\sigma(i)) \neq m$). In view of the first part one has $\kappa^*(\sigma(i)) > m$. Since $\sigma \in S^{(\lambda)}$ it is clear that the biggest $i = S_\lambda(m)$ satisfies these conditions. We must show now that this is the only i with this property. If not then there also exists i' with $\lambda^*(i') > m = \kappa^*(\sigma(i')) \Rightarrow I_\sigma(\lambda, \kappa) \neq \emptyset$.

(ii) Remark that $m = m_\sigma$. Since $\# \{i \mid \lambda^*(i) = m_\sigma\} = \# \{i \neq i_o \mid \kappa^*(\sigma(i)) = m_\sigma\} + 1$, we conclude as in (i) that $\lambda^*(i) = \kappa^*(\sigma(i))$ for $i < S_\lambda(m_\sigma)$.

(iii) Remark that $M = M_\sigma$. From Lemma A.3, $\# \{i \mid \lambda^*(i) \leq M_\sigma\} = \# \{i \mid \kappa^*(\sigma(i)) \leq M_\sigma\}$. Since i_o is the only i with $\lambda^*(i) = M_\sigma > \kappa^*(\sigma(i))$, we conclude that $\lambda^*(i) = \kappa^*(\sigma(i)) = M_\sigma$ for $i > i_o$. ■

In the following lemmas we simplify the calculation of $N_\sigma^+(\lambda, \kappa)$ and $N_\sigma^-(\lambda, \kappa)$. Therefore we introduce the following notation (replacing j by $\sigma(j)$):

$$N_\sigma^-(\lambda, \kappa) = \# \{(i, j) \mid i < j, \sigma(i) < \sigma(j) \text{ and } \lambda^*(i) > \kappa^*(\sigma(j))\}$$

$$N_\sigma^+(\lambda, \kappa)(i) = \# \{j < i \mid \sigma(i) < \sigma(j) \text{ and } \lambda^*(i) \leq \kappa^*(\sigma(j))\}.$$

Then

$$N_\sigma^+(\lambda, \kappa) = \sum_i N_\sigma^+(\lambda, \kappa)(i).$$

LEMMA A.5. (a) If (i, j) contributes to $N_\sigma^-(\lambda, \kappa)$ then $\sigma(i), \sigma(j) \in I_\sigma(\lambda, \kappa)$.

(b) If $\# I_\sigma(\lambda, \kappa) \leq 1$ then $N_\sigma^-(\lambda, \kappa) = 0$.

(c) If $\sigma(i) \notin I_\sigma(\lambda, \kappa)$ then $N_\sigma^+(\lambda, \kappa)(i) = \# \{j < i \mid \sigma(i) < \sigma(j)\}$.

Proof. (a) follows directly from the definition of $N_\sigma^-(\lambda, \kappa)$ and (b) is a direct consequence of (a).

(c) For, if $\lambda^*(i) \leq \kappa^*(\sigma(i))$ then $\sigma(i) < \sigma(j)$ implies that $\lambda^*(i) \leq \kappa^*(\sigma(j))$, so this last condition can be omitted. ■

LEMMA A.6. Let $\lambda < \kappa$ and $S_\kappa(j) - 1 \leq S_\lambda(j) \leq S_\kappa(j)$ for every $j = 1, \dots, n$. For $1 \leq m < r$ with $k_m > 0$ we consider $\kappa_m = (k_1, \dots, k_m - 1, \dots, k_r + 1) \in \mathcal{T}_r(n)$. For every b with $\kappa^*(b) = m$ there is a bijection,

$$\phi: \{\sigma \in S^{(\lambda)} \mid I_\sigma(\lambda, \kappa) = \{b\}\} \rightarrow \{\sigma_o \in S^{(\lambda)} \mid I_{\sigma_o}(\lambda, \kappa_m) = \emptyset\}.$$

Moreover $C_b(\lambda, \kappa) = C_0(\lambda, \kappa_m)$.

Proof. Let s be the smallest value and $t - 1$ the greatest value of j for which $S_\kappa(j) - 1 = S_\lambda(j)$; i.e., $k_j = l_j$ for $j < s$ and $j > t$. Remark that $s < t$ exists since $\lambda < \kappa$. For $\sigma \in S^{(\lambda)}$ with $I_\sigma(\lambda, \kappa) = \{b\}$ Lemma A.2 yields $\lambda^*(i_o) = M_\sigma \geq t$. Define $\sigma_o = \phi(\sigma)$:

$$\begin{aligned} \sigma_o(i) &= \sigma(i) & \text{if } \sigma(i) < b \text{ or } \kappa^*(\sigma(i)) \geq M_\sigma \\ \sigma_o(i) &= \sigma(i) - 1 & \text{if } b < \sigma(i) \text{ and } \kappa^*(\sigma(i)) < M_\sigma. \\ \sigma_o(i_o) &= S_\kappa(M_\sigma - 1) \end{aligned}$$

We prove now that ϕ is well defined and bijective. Remark first that

$$\lambda^*(i) \leq \kappa^*(\sigma(i)) \quad \text{iff} \quad i \neq i_o. \quad (*)$$

Since $b = \sigma(i_o) < \sigma(i)$ for every i with $\lambda^*(i) = \lambda^*(i_o) = M_\sigma$ and $\sigma \in S^{(\lambda)}$ we conclude that i_o is the smallest value of i with $\lambda^*(i) = M_\sigma$:

$$i_o = S_\lambda(M_\sigma - 1) + 1. \quad (**)$$

Moreover

$$\text{If } j \neq i \neq i_o \quad \text{then} \quad \sigma(i) < \sigma(j) \Leftrightarrow \sigma_o(i) < \sigma_o(j). \quad (***)$$

Namely

$$\sigma_o(j) - \sigma_o(i) = \sigma(j) - \sigma(i) + \begin{cases} 1 \\ 0 \\ -1. \end{cases}$$

But $i \neq j$, so these expressions are both positive or both negative.

* $\phi(\sigma) = \sigma_o \in S^{(\lambda)}$. I.e., $i < j$ and $\lambda^*(i) = \lambda^*(j)$; then $\sigma_o(i) < \sigma_o(j)$. If $i \neq j \neq i_o$ this follows from (***). If $\lambda^*(i) = \lambda^*(i_o) = M_\sigma$ then $i_o = S_\lambda(M_\sigma - 1) + 1 < i$ and (*) yields $\kappa^*(\sigma(i)) \geq M_\sigma$. We conclude that $\sigma_o(i) = \sigma(i) \geq S_\kappa(M_\sigma - 1) + 1 = \sigma_o(i_o)$.

** $I_{\sigma_o}(\lambda, \kappa_m) = \emptyset$. Remark that κ^* and κ_m^* are related as follows:

$$\begin{aligned} \kappa^*(j) &= \kappa_m^*(j) & \text{if } \kappa^*(j) < m \\ \kappa^*(j+1) &= \kappa_m^*(j) & \text{if } \kappa^*(j) \geq m. \end{aligned}$$

We must show that $\lambda^*(i) \leq \kappa_m^*(\sigma_o(i))$ for every i .

(a) $\sigma_o(i) = \sigma(i) \notin I_\sigma(\lambda, \kappa)$. $\lambda^*(i) \leq \kappa^*(\sigma(i)) = \kappa^*(\sigma_o(i)) \leq \kappa_m^*(\sigma_o(i))$.

(b) $\sigma_o(i) + 1 = \sigma(i) \notin I_\sigma(\lambda, \kappa)$. Since $\kappa^*(\sigma(i)) \geq m$ we find

$$\lambda^*(i) \leq \kappa^*(\sigma(i)) = \kappa^*(\sigma_o(i) + 1) = \kappa_m^*(\sigma_o(i)).$$

(c) $i = i_o$. $\lambda^*(i_o) = M_\sigma = \kappa^*(S_\kappa(M_\sigma - 1) + 1) = \kappa_m^*(S_\kappa(M_\sigma - 1)) = \kappa_m^*(\sigma_o(i_o))$.

*** ϕ is bijective. I.e., every σ_o is the image of a unique σ . Since b is fixed, the only difficulty is to show that i_o is uniquely determined by σ_o . Using (**) it suffices to determine $M_\sigma = \lambda^*(i_o)$. Lemma A.4 (with $M = M_\sigma + 1$) implies that $\sigma(i) = i$ if $\lambda^*(i) > M_\sigma$. If $M_\sigma > t$ then $k_{M_\sigma} = l_{M_\sigma}$ and Lemma A.4(iii) yields $\lambda^*(i) = \kappa(\sigma(i))$ for $i > i_o$, and if $M_\sigma = t$ then $k_{M_\sigma} = l_{M_\sigma} + 1$. In both cases there are $L_{M_\sigma} - 1$ values of $i \neq i_o$ with $\lambda^*(i) = M_\sigma$, and $k_{M_\sigma} \geq l_{M_\sigma}$ values with $\kappa^*(\sigma(i)) = M_\sigma$. Therefore there also exists i' with $\lambda^*(i') < M_\sigma = \kappa^*(\sigma(i')) = \kappa^*(\sigma_o(i'))$. It follows from these considerations that M_σ is characterized as the greatest value of k for which there exists i with $\lambda^*(i) < \kappa^*(\sigma_o(i)) = k$.

**** $C_b(\lambda, \kappa) = C_0(\lambda, \kappa_m)$. Recall from Lemma A.5 that $N_\sigma(\lambda, \kappa) = N_{\sigma_o}(\lambda, \kappa_m) = 0$ and $N_\sigma^+(\lambda, \kappa)(i) = \#\{j < i \mid \sigma(i) < \sigma(j)\}$ if $\sigma(i) \notin I_\sigma(\lambda, \kappa)$. It is easy to see that i_o does not contribute to $N_\sigma^+(\lambda, \kappa)(i)$ or to $N_{\sigma_o}^+(\lambda, \kappa_m)(i)$, so using remark (**) we conclude for $i \neq i_o$ that $N_\sigma^+(\lambda, \kappa)(i) = N_{\sigma_o}^+(\lambda, \kappa_m)(i)$. For i_o we find

$$\begin{aligned} N_\sigma^+(\lambda, \kappa)(i_o) &= \#\{j < i_o \mid b < \sigma(j) \text{ and } M_\sigma \leq \kappa^*(\sigma(j))\} \\ &= \#\{j < i_o \mid b < \sigma_o(j) \text{ and } \sigma_o(j) > S_\kappa(M_\sigma - 1) = \sigma_o(i_o)\} \\ &= N_{\sigma_o}^+(\lambda, \kappa_m)(i_o). \end{aligned}$$

We conclude that $N_\sigma(\lambda, \kappa) = N_{\sigma_o}(\lambda, \kappa_m)$ and the statement clearly follows. ■

We still need the following lemma concerning the permutations with at most one descent on a given set:

LEMMA A.7. For $1 \leq s \leq r$ define $B = \{i \mid \lambda^*(i) \leq s \leq \kappa^*(\sigma(i))\} = \{i_1 < \dots < i_k\}$. For σ_o with no descent on B , $\#I_{\sigma_o}(\lambda, \kappa) \leq 1$, $s \leq M_{\sigma_o}$, and $\sigma_o(j) \notin I_{\sigma_o}(\lambda, \kappa)$ if $j \in B$; we define $S_{\sigma_o} = \{\sigma \in S_n \mid \sigma(i) = \sigma_o(i) \text{ if } i \notin B \text{ and } \sigma \text{ has at most one descent } i_1 \text{ on } B\}$. Then $\sum_{\sigma \in S_{\sigma_o}} q^{N_\sigma(\lambda, \kappa)} = [k] q^{N_{\sigma_o}(\lambda, \kappa)}$.

Proof. In this proof we drop the specification (λ, κ) to simplify the notation. Remark that $\sigma \in S_{\sigma_o}$ is completely determined by $0 \leq l < k$, where $l+1$ is the greatest value with $\sigma(i_1) \geq \sigma(i_{l+1})$. We denote this σ by σ_l .

Remark that σ_o is indeed the given permutation. We find

$$\sigma_l(i_2) < \sigma_l(i_3) < \cdots < \sigma_l(i_{l+1}) < \sigma_l(i_1) < \sigma_l(i_{l+2}) < \cdots < \sigma_l(i_k).$$

Let us determine the relation between N_{σ_l} and N_{σ_o} . From Lemma A5(b) we find $N_{\sigma}^- = 0$ in both cases. To calculate N_{σ}^+ we remark: If $j \notin B$ then $\lambda^*(j) > s$ or $\kappa^*(\sigma_o(j)) < s$; this yields

$$j > i_k \quad \text{or} \quad \sigma_o(j) < \sigma_o(i_1). \quad (*)$$

From Lemma A.5(c) this yields that j does not contribute to $N_{\sigma_l}^+(i)$ for $i \in B$ and thus

$$\begin{aligned} N_{\sigma_l}^+(i_m) &= N_{\sigma_o}^+(i_m) = 0 & \text{if } m = 1 \text{ or } m > l + 1 \\ N_{\sigma_l}^+(i_m) &= 1 = N_{\sigma_o}^+(i_m) + 1 & \text{if } 1 < m \leq l + 1. \end{aligned}$$

In order to relate $N_{\sigma_l}^+(i)$ with $N_{\sigma_o}^+(i)$ ($i \notin B$) we investigate the condition

$$j < i, \quad \sigma_l(j) > \sigma_l(i), \quad \text{and} \quad \lambda^*(i) \leq \kappa^*(\sigma_l(j)). \quad (**)$$

If $i > i_k$ then for $j \in B$, (**) is only a condition on $\sigma_l(j) = \sigma_o(j')$ for some $j' \in B$. We conclude that $N_{\sigma_l}^+(i) = N_{\sigma_o}^+(i)$.

From (*) we can assume now that $\sigma_o(i) < \sigma_o(i_1)$ and $i \leq i_k$.

If $\sigma_o(i) \notin I_{\sigma_o}(\lambda, \kappa)$ then the last condition in (**) can be omitted (cf. Lemma A.5(c)). Thus for $j \in B$, (**) is only a condition on j . Again we conclude that $N_{\sigma_l}^+(i) = N_{\sigma_o}^+(i)$. This leaves the case $i = i_o \leq i_k$. Since $\lambda^*(i_o) = M_{\sigma_o} \geq s \geq \lambda^*(i_k)$ we conclude that $s = M_{\sigma_o}$. But then $\kappa^*(\sigma_l(j)) \geq M_{\sigma_o} \geq \lambda^*(i_o)$ for every $j \in B$. The last condition in (**) is thus always satisfied for $j \in B$. We conclude that (**) is only a condition on j and thus $N_{\sigma_l}^+(i_o) = N_{\sigma_o}^+(i_o)$. We resume $N_{\sigma_l}(\lambda, \kappa) = N_{\sigma_o}(\lambda, \kappa) + l$ and the formula follows. ■

LEMMA A.8. If $I_{\sigma}(\lambda, \kappa) = \emptyset$ and $l_i = k_i$ for $i < m$, and $l_m = k_m + 1$ or $I_{\sigma}(\lambda, \kappa) = \{b\}$ with $\lambda = \kappa$, define $m = m_{\sigma}$; then, for $m < s \leq M_{\sigma}$,

$$B = \{i \mid \lambda^*(i) \leq s \leq \kappa^*(\sigma(i))\} = \{i \neq i_o \mid \lambda^*(i) = s\} \cup \{i_1\} \quad \text{with } \lambda^*(i_1) < s.$$

Proof. Remark that $i_o \notin B$ and $\lambda^*(i_o) = M_{\sigma} \geq s$; therefore

$$\# \{i \neq i_o \mid \kappa^*(\sigma(i)) \geq s\} = \# \{i \neq i_o \mid \lambda^*(i) \geq s\} + 1.$$

So there exists at least one $i_1 \in B$ with $\kappa^*(\sigma(i_1)) \geq s > \lambda^*(i_1)$. We must show that this is the only $i \in B$ with this property. If not then there also exists $i' \neq i_o$ with $\lambda^*(i') \geq s > \kappa^*(\sigma(i'))$, contradicting the conditions on $I_{\sigma}(\lambda, \kappa)$. ■

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